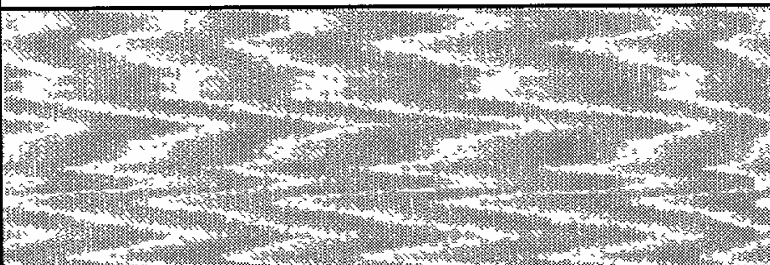


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of  Kevin P. Baker, et al.  Serial No.: Filed herewith  Filed: Filed herewith  For: <i>Secreted and Transmembrane Polypeptides and Nucleic Acids Encoding the Same</i>	Group Art Unit: Unknown  Examiner: Unknown  
--	--

**ASSOCIATE POWER OF ATTORNEY (37 CFR 1.34) AND CHANGE OF  
CORRESPONDENCE ADDRESS**

**BOX: Patent Application**  
**Assistant Commissioner of Patents**  
**Washington, D.C. 20231**

Sir:

Please recognize as Associate Attorney in this case:

Ralph J. Gabric - Reg. No. 34,167

Paul E. Rauch, Ph.D - Reg. No. 38,591

**K. Shannon Mrksich - Reg. No. 36,675**

**Please direct all communications relative to said pending patent application**  
**to the following address:**

**Paul E. Rauch, Ph.D.**  
**Brinks, Hofer, Gilson & Lione**  
**NBC Tower - Suite 3600**  
**455 N. Cityfront Plaza Drive**  
**Chicago, Illinois 60611-5599**  
**Telephone: 312-321-4200**  
**Facsimile: 312-321-4299**

**CUSTOMER NO: 28442**

Respectfully submitted,  
GENENTECH, INC.

Date: August 31, 2001

By: Elizabeth M. Barnes  
Elizabeth M. Barnes, Ph.D.  
Reg. No. 35,059  
Telephone No. (650) 225-4563



09157

PATENT TRADEMARK OFFICE

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**COMBINED DECLARATION FOR PATENT APPLICATION  
AND POWER OF ATTORNEY**

As a below named inventor, I hereby declare that:

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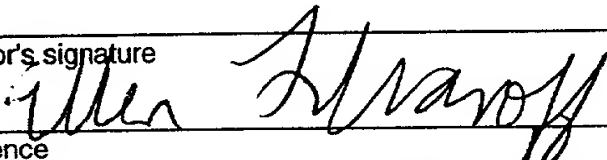
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---

Full name of fifth joint inventor, if any

Ellen Filvaroff

Inventor's signature



Date

7/31/01

Residence

538 18<sup>th</sup> Avenue, San Francisco, California 94121

Citizenship

US

Post Office Address

1 DNA Way  
South San Francisco, CA 94080

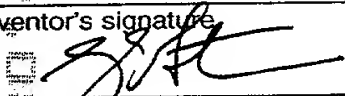
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Full name of sixth joint inventor, if any

Mary E. Gerritsen

Inventor's signature



Date

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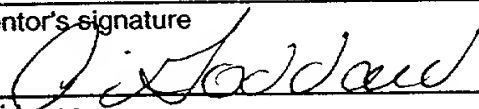
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Full name of seventh inventor

Audrey Goddard

Inventor's signature



Date

8/1/01

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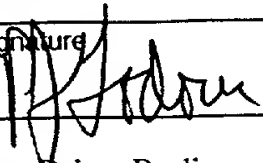
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Full name of eighth joint inventor, if any

Paul J. Godowski

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Date

7/30/01

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Full name of ninth joint inventor, if any

J. Christopher Grimaldi

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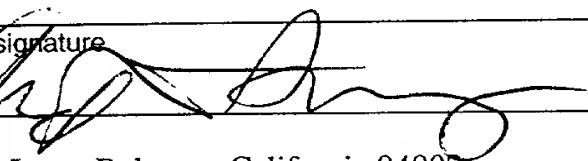
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Full name of tenth inventor

Austin L. Gurney

Inventor's signature



Date

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Full name of fifteenth joint inventor, if any

Daniel Tumas

Inventor's signature

Date

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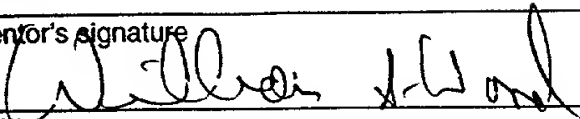
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Full name of sixteenth inventor

William I. Wood

Inventor's signature



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11/11/01



**APPENDIX A**

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## APPENDIX B

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PCT/US01/06520 filed February 28, 2001.

**COMBINED DECLARATION FOR PATENT APPLICATION  
AND POWER OF ATTORNEY**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME**

the specification of which (check one) ☐ is attached hereto or ☒ was filed on May 25, 2001 as Application Serial No. 09/866,028 and was amended on    (if applicable).

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Prior Foreign Application(s):

Priority Claimed  
Yes      No

Number	Country	Day/Month/Year Filed

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**See attached Appendix A (listing 18 U.S. Provisional Patent Applications)**

Application Ser. No.	Filing Date

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**See Attached Appendix B (listing 19 U.S. and PCT Patent Applications)**

Application Ser. No.	Filing Date	Status: Patented, Pending, Abandoned

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**POWER OF ATTORNEY:** As a named inventor, I hereby appoint all Attorney(s) and/or Agent(s) associated with the following Patent Office Issued Customer Number to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.



09157

PATENT TRADEMARK OFFICE

Send correspondence to Genentech, Inc.

Attn: Elizabeth M. Barnes, Ph.D.

Telephone: (650) 225-4461

I hereby declare that all statements made herein of my own knowledge and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

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Full name of sole or first inventor

Kevin P. Baker

Inventor's signature

Date

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Full name of second joint inventor, if any

David Botstein

Inventor's signature

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Citizenship

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Full name of third joint inventor, if any

Dan L. Eaton

Inventor's signature

Date

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Post Office Address

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---

Full name of fourth inventor

Napoleone Ferrara

---

Inventor's signature

Date

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Citizenship

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---

Post Office Address

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Full name of fifth joint inventor, if any

Ellen Filvaroff

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Inventor's signature

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Mary E. Gerritsen

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Full name of seventh inventor

Audrey Goddard

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**William I. Wood**

Inventor's signature

Date \_\_\_\_\_

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[illegible]



## APPENDIX A

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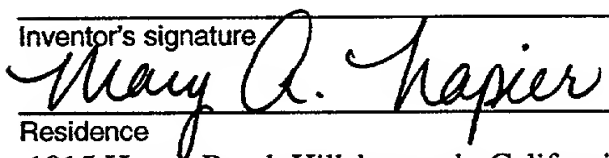
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PCT/US00/32678 filed December 1, 2000;

PCT/US01/06520 filed February 28, 2001.

**COMBINED DECLARATION FOR PATENT APPLICATION  
AND POWER OF ATTORNEY**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME**

the specification of which (check one) ☐ is attached hereto or ☒ was filed on May 25, 2001 as Application Serial No. 09/866,028 and was amended on    (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate have a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s):

Priority Claimed  
Yes      No

Number	Country	Day/Month/Year Filed

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications(s) listed below:

**See attached Appendix A (listing 18 U.S. Provisional Patent Applications)**

Application Ser. No.	Filing Date

I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

**See Attached Appendix B (listing 19 U.S. and PCT Patent Applications)**

Application Ser. No.	Filing Date	Status: Patented, Pending, Abandoned

Application Ser. No.	Filing Date	Status: Patented, Pending, Abandoned

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint all Attorney(s) and/or Agent(s) associated with the following Patent Office Issued Customer Number to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.



09157

PATENT TRADEMARK OFFICE

Send correspondence to Genentech, Inc.

Attn: Elizabeth M. Barnes, Ph.D.

Telephone: (650) 225-4461

I hereby declare that all statements made herein of my own knowledge and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

The undersigned hereby authorizes the U.S. attorney or agent named herein to accept and follow instructions from his foreign patent agent as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney or agent and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorney or agent named herein will be so notified by the undersigned.

Full name of sole or first inventor

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Inventor's signature

Date

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Full name of fourth inventor

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Date

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Full name of fifth joint inventor, if any

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Date

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---

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Full name of seventh inventor

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---

Full name of eighth joint inventor, if any

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Full name of tenth inventor

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Full name of thirteenth inventor

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Full name of fourteenth joint inventor, if any

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Full name of fifteenth joint inventor, if any

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Full name of sixteenth inventor

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Date \_\_\_\_\_

### Residence

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[illegible]



## APPENDIX A

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications listed below:

60/067,411 filed December 3, 1997;  
60/069,334 filed December 11, 1997;  
60/069,335 filed December 11, 1997;  
60/069,278 filed December 11, 1997;  
60/069,425 filed December 12, 1997;  
60/069,696 filed December 16, 1997;  
60/069,694 filed December 16, 1997;  
60/069,702 filed December 16, 1997;  
60/069,870 filed December 17, 1997;  
60/069,873 filed December 17, 1997;  
60/068,017 filed December 18, 1997;  
60/070,440 filed January 5, 1998;  
60/074,086 filed February 9, 1998;  
60/074,092 filed February 9, 1998;  
60/075,945 filed February 25, 1998;  
60/112,850 filed December 16, 1998;  
60/113,296 filed December 22, 1998;  
60/146,222 filed July 28, 1999.

**APPENDIX B**

I hereby claim the benefit under Title 35, United States Code, §120 of any United States and PCT patent applications listed below:

PCT/US98/19330 filed September 16, 1998;

PCT/US98/25108 filed December 1, 1998;

09/216,021 filed December 16, 1998;

09/218,517 filed December 22, 1998;

09/254,311 filed March 3, 1999;

PCT/US99/12252 filed June 2, 1999;

PCT/US99/21090 filed September 15, 1999;

PCT/US99/28409 filed November 30, 1999;

PCT/US99/28313 filed November 30, 1999;

PCT/US99/28301 filed December 1, 1999;

PCT/US99/30095 filed December 16, 1999;

PCT/US00/03565 filed February 11, 2000;

PCT/US00/04414 filed February 22, 2000;

PCT/US00/05841 filed March 2, 2000;

PCT/US00/08439 filed March 30, 2000;

PCT/US00/14042 filed May 22, 2000;

PCT/US00/20710 filed July 28, 2000;

PCT/US00/32678 filed December 1, 2000;

PCT/US01/06520 filed February 28, 2001.